

DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

[Docket No. FR-4212-N-01]

**SAFE NEIGHBORHOOD GRANTS
Notice of Funding Availability--Fiscal Year 1997**

AGENCY: Office of the Assistant Secretary for Housing - Federal Housing Commissioner, HUD.

ACTION: Notice of Funding Availability (NOFA) for Fiscal Year (FY) 1997.

SUMMARY: This NOFA announces the availability of \$20,000,000 in FY 1997 funds for Safe Neighborhood Grants. The purpose of the Safe Neighborhood Grants Program is to eliminate drug-related and other crime problems on the premises and in the vicinity of low-income housing, which may be privately or publicly owned and is financially assisted or supported by public or nonprofit private entities. This NOFA describes the purpose of the program, applicant eligibility, maximum grant amount, application threshold and ranking criteria, HUD application processing, and postaward financial and management requirements. This NOFA provides information on how to apply, how HUD will make selections, and how HUD will notify applicants of results.

DATES: Applications must be received at the local HUD field office on or before August 21, 1997 at 3 p.m., local time. THIS APPLICATION DEADLINE IS FIRM AS TO DATE AND HOUR. In the interest of fairness to all competing applicants, HUD will treat as ineligible for consideration any application that is received after the deadline. Applicants should take this practice into account and submit materials early to avoid risking loss of eligibility brought about by unanticipated delivery-related problems. A facsimile transmission (FAX) will not constitute delivery.

ADDRESSES: (a) APPLICATION KIT: An application kit is required to prepare an application successfully. Applicants may obtain the application from the

HUD field office having jurisdiction over the location of the applicant project. A list of HUD field offices is attached to this NOFA as Appendix A.

The HUD field office will be available to provide technical assistance in the preparation of applications during the application period. In addition, applications may be obtained from the Multifamily Housing Clearinghouse by calling (800) 685-8470.

(b) APPLICATION SUBMISSION: Applications (original and two copies) must be received by the deadline at the appropriate HUD field office with jurisdiction over the applicant project, Attention: Director of Multifamily Housing. It is not sufficient for the application to bear a postage date within the submission time period. Applications submitted by facsimile are not acceptable. HUD will not consider applications received after the deadline.

FOR FURTHER INFORMATION CONTACT: For application materials, please contact the Office of the Director of Multifamily Housing in the HUD field office having jurisdiction over the project(s) in question. A list of HUD field offices is attached to this NOFA as Appendix A.

For program, policy, and other guidance, contact Henry Colonna, Department of Housing and Urban Development, Virginia State Office, 3600 West Broad Street, Richmond, VA 23230-4920, telephone (804) 278-4505, extension 3027 (or (804) 278-4501 TTY).

SUPPLEMENTARY INFORMATION:

I. PURPOSE AND SUBSTANTIVE DESCRIPTION

A. AUTHORITY

This grant funding was authorized and appropriated by the Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 1997 (Pub. L. No. 104-204, approved September 26, 1996; 110 Stat. 2874, 2888) (HUD FY 1997 Appropriations Act).

B. BACKGROUND

The HUD 1997 Appropriations Act made \$20,000,000 available for grants to benefit public housing developments, federally-assisted multifamily, or other multifamily-housing developments for low-income families supported by non-Federal governmental housing entities or similar developments supported by nonprofit private sources, to reimburse local law enforcement entities for additional police presence in and around such housing developments. These funds may also be used to provide or augment such security services by other entities or employees of the recipient agency, to assist in the investigation and/or prosecution of drug related criminal activity in and around such developments, and to provide assistance for the development of capital improvements at such developments directly relating to the security of such developments.

In implementing this grant program, HUD is applying lessons learned from other anticrime efforts in public and assisted housing including the following HUD programs: Drug Elimination Grants for Public and Indian Housing and for other federally-assisted housing; Operation Safe Home; and the Safe Neighborhood Action Program (SNAP) demonstration. Federal programs also include several Department of Justice (DOJ) law enforcement programs and various programs operated by HUD and other agencies which promote socio-economic lift, drug treatment, and other support for at-risk populations to remove underlying causes of crime and the need for law enforcement.

The following specific guiding principles and concerns are derived from this experience, and HUD is incorporating them in its plan for implementing these Safe Neighborhood Grants:

(1) Drug- and crime-fighting activities, if only directed to a single assisted housing development, may have the unfortunate effect of simply moving the problem to nearby housing and businesses. With these grants, HUD is taking a comprehensive neighborhood/community-based approach to crime.

Applicant owners/operators of eligible housing will be required to partner with the unit of general local government (city or county) with jurisdiction and other stakeholders to address crime in an entire neighborhood that may include more than one Assisted Housing development. Application scoring will favor proposals that target neighborhoods with large concentrations of Assisted Housing that are, in many cases, distributed among multiple Assisted Housing developments. Application scoring will also favor proposals that maximize the role of units of general local governments, and especially their police departments and/or prosecuting offices, in administering grant funds. (Units of local government that are owners/operators of eligible housing may also be designated grantees whether or not the neighborhood designated for assistance includes housing that they own).

(2) Crime fighting efforts are most effective when partnering law-enforcement agencies at various levels with one another and with a full range of community stakeholders. As indicated above owner applicants will be required to demonstrate that they have formed a partnership with units of general local government, preferably with the police department and prosecutor's office playing key roles in this partnership. In addition, members of the grant partnership must also include: at least one law enforcement agency at a Federal level (such as the HUD Office of Inspector General (OIG), U.S. Attorney, FBI, Drug Enforcement Administration (DEA), and U.S. Marshals); all owners of Assisted Housing developments in the targeted neighborhood; and residents of these Assisted Housing developments. Applicants will also position themselves to score more points in the competition by including the following in the partnership: a) community residents; b) neighborhood businesses; c) nonprofit providers of support services, including spiritually-based organizations and their affiliates; d) State law enforcement agencies; and e) more than one Federal law enforcement agency.

In stressing partnerships, HUD is drawing from successes of its Operation Safe Home program and the SNAP Demonstration Initiative. Safe Neighborhood Grants will be administered by HUD's Richmond Office, which also administers the SNAP demonstration sites, and will include implementation plans called "Safe Neighborhood Action Plans." (The SNAP program will also continue to include projects not funded by Safe Neighborhood Grants, such as current demonstration SNAP initiatives.) In addition, the HUD Office of Inspector General will make several Safe Neighborhood Grant sites Operation Safe Home sites as well, giving awardees of such grants the added benefit of Office of Inspector General assistance in crime fighting activities.

(3) Law enforcement strategies, however effective in the short run, need to be combined with efforts to address the underlying causes of crime and deter its reappearance. The long term solution to the crime problems of Assisted Housing developments and their surrounding neighborhoods rest in changing the conditions--and the culture--within these places. Although Safe Neighborhood Grants are statutorily restricted to law enforcement activity and to physical barriers against criminal penetration, the ranking will favor comprehensive strategies that match Safe Neighborhood Grant funds with local, State, or Federal resources committed to "welfare-to-work," family self-sufficiency, youth development and the like, as well as other law enforcement resources.

(4) Actions speak louder than words. HUD is aware that competitive grant selections can be as much affected by the writing skills applied in preparing applications as by the applicant organization's ability to achieve program goals with grant funds. Although HUD will award 10 points based on the logical soundness of a proposed plan, HUD also knows that excellent plans on paper do not always translate to excellent results. For maximum program impact, HUD intends to fund existing crime-fighting partnerships with good track records to extend their activities in new locations. Forty out of 100

points will be awarded based on lead applicant's and partnership's capacity to implement the Safe Neighborhood Action Plan. Of these 40 points, 15 will be based on the prior experience of an applicant or its partners in eliminating crime in other projects and neighborhoods, with the remaining 25 based on the overall strength of the partnership and administrative mechanisms established to implement the grant.

As a prime example of the need to use effective working partnerships in new locations, many Federal resources have been applied to eliminate crime in and around public and assisted housing developments through the Drug Elimination Grant, Operation Safe Home, and Weed and Seed programs. HUD now wishes to encourage these successful partnerships to address similar problems in and around privately-owned federally-assisted housing. In addition to rewarding partnerships with good track records, HUD is requiring that at least one project in each targeted neighborhood be multifamily housing with either: (1) a HUD-insured, held, or direct mortgage and Rental Assistance Payments (RAP), Rent Supplement, or interest reduction payments; or (2) Section 8 project-based assistance with or without HUD interest in the project mortgage.

This emphasis on HUD assisted privately-owned housing does not negate the eligibility of other low-income housing developments assisted by Federal, State, and local government, and not-for-profit sources to apply or benefit from Safe Neighborhood Grant funds. By awarding points for neighborhoods with high concentrations of Assisted Housing, HUD is encouraging applicants to address the needs of multiple Assisted Housing developments which may feature a mix of ownership types and subsidy sources.

(5) Complying with civil rights requirements. With the very real need to protect occupants of HUD-sponsored housing and the areas around the housing, the civil rights of all citizens must be protected. Proposed strategies should be developed to ensure that crime-fighting and drug prevention activities are not undertaken in such a manner that civil rights or fair housing statutes are violated. Profiling on any prohibited bases may not

be allowed. In addition, all segments of the population should be represented in developing and implementing these crime-fighting strategies.

(6) Coordination with other law enforcement efforts. In addition to working closely with residents and local governing bodies, it is critically important that owners establish ongoing working relationships with Federal, State, and local law enforcement agencies in their efforts to address crime and violence in and around their housing developments. HUD firmly believes that the war on crime and violence in assisted housing can only be won through the concerted and cooperative efforts of owners and law enforcement agencies working together in cooperation with residents and local governing bodies. As such, HUD encourages owners to participate in Departmental and other Federal law enforcement agencies' programs, as described below:

Safe Neighborhood Action Program (SNAP)

The Safe Neighborhood Action Program (SNAP) initiative, announced June 12, 1994 by HUD, the National Assisted Housing Management Association (NAHMA), and the U.S. Conference of Mayors (USCM), is an anticrime and empowerment strategies initiative in HUD-assisted housing neighborhoods in 14 SNAP cities. The major thrust of SNAP is the formation of local partnerships in 14 targeted cities where ideas and resources from government, owners and managers of assisted housing, residents, service providers, law enforcement officials, and other community groups meet to work on innovative, neighborhood anticrime strategies. There is no funding associated with SNAP, which relies on existing ideas and resources of the participants. Some common initiatives from these SNAP teams have included the following: community policing, crime watch programs, tenant selection policies, leadership training, individual development or job skills training, expansion of youth activities, police tip line or form, community centers, antigang initiatives, police training for security officers, environmental improvements, and a needs assessment survey to determine community needs. In addition, a HUD-sponsored initiative to

increase the presence of AmeriCorps' VISTAs in assisted housing units has led to the placement of 25 VISTAs on 12 SNAP teams. The AmeriCorps VISTA program, which incorporates a theme of working within the community to find solutions to community needs, has provided additional technical assistance to the SNAP teams. The cities participating in the SNAP initiative include: Atlanta, Ga; Boston, Mass; Denver, Co; Houston, TX; Newark, NJ; Philadelphia, PA; Baltimore, MD; Columbus, OH; Detroit, MI; Los Angeles, CA; New Orleans, LA; Little Rock, AR; Richmond, VA; and Washington, DC.

For more information on SNAP, contact Henry Colonna, National SNAP Coordinator, Virginia State Office, 3600 West Broad Street, Richmond, VA 23230-4920; telephone (804) 278-4505, extension 3027; or (804) 278-4501 TTY. For more information on AmeriCorps' VISTAs in Assisted Housing, contact Deanna E. Beaudoin, National VISTAs in Assisted Housing Coordinator, Colorado State Office, First Interstate Tower North, 633 17th Street, Denver, CO 80202; telephone (303) 672-5291, extension 1068.

Operation Safe Home

Operation Safe Home was announced jointly by Vice President Albert Gore, former HUD Secretary Henry G. Cisneros, former Treasury Secretary Lloyd Bentsen, Attorney General Janet Reno, and representatives of the Office of National Drug Control Policy (ONDCP) at a White House briefing on February 4, 1994. Operation Safe Home is a major HUD initiative focusing on violent and drug-related crime within public housing authorities. As such, it is a holistic enforcement approach which combines aggressive law enforcement interdiction efforts with a housing authority's crime prevention and intervention initiatives. Operation Safe Home is structured to combat the level of violent crime activities occurring within public and assisted housing, and enhance the quality of life within such complexes through three simultaneous approaches:

- Strong, collaborative law enforcement efforts focused on reducing the level of violent crime activities occurring within public and assisted

housing;

- Collaboration between law enforcement agencies and public housing managers and residents in devising methods to prevent violent crime; and
- The introduction of HUD, DOJ, and other agency initiatives specifically geared to preventing crime.

For more information on Operation Safe Home, contact Lee Isdell, Office of the Inspector General, Department of Housing and Urban Development, Room 8256, 451 Seventh Street, S.W., Washington, D.C. 20410; telephone (202) 708-0430, fax number (202) 401-2505; Internet E:mail www.hud.gov.\oig\oigindex.html. A telecommunications device for hearing or speech impaired persons (TTY) is available at (202) 708-0850. (These are not toll-free telephone numbers.)

Operation Weed and Seed

Operation Weed and Seed, conducted through the Department of Justice, is a comprehensive, multiagency approach to combatting violent crime, drug use, and gang activity in high-crime neighborhoods. The goal is to "weed out" crime from targeted neighborhoods, and then to "seed" the targeted sites with a wide range of crime and drug prevention programs and human services agency resources to prevent crime from reoccurring. Operation Weed and Seed further emphasizes the importance of community involvement in combatting drugs and violent crime. Community residents need to be empowered to assist in solving crime-related problems in their neighborhoods. In addition, the private sector needs to get involved in reducing crime. All of these entities-- Federal, State, and local government, the community, and the private sector-- should work together in partnership to create a safer, drug-free environment.

The Weed and Seed strategy involves four basic elements:

- Law enforcement must "weed out" the most violent offenders by coordinating and integrating the efforts of Federal, State, and local law enforcement agencies in targeted high-crime neighborhoods. No social program or community activity can flourish in an atmosphere poisoned by violent crime

and drug abuse.

- Local municipal police departments should implement community policing in each of the targeted sites. Under community policing, law enforcement should work closely with the housing authority and residents of the community to develop solutions to the problems of violent and drug-related crime. Community policing serves as a "bridge" between the weeding (law enforcement) and seeding (neighborhood revitalization) components.

- After the weeding takes place, law enforcement and social services agencies, the private sector, and the community must work to prevent crime and violence from reoccurring by concentrating a broad array of human services-- drug and crime prevention programs, drug treatment, educational opportunities, family services, and recreational activities--in the targeted sites to create an environment where crime cannot thrive.

- Federal, State, local, and private sector resources must focus on revitalizing distressed neighborhoods through economic development and must provide economic opportunities for residents.

For further information on Operation Weed and Seed, contact the Department of Justice, Office of Justice Programs, 366 Indiana Avenue, Room 304S, N.W., Washington, D.C., 20531; telephone (202) 616-1152, FAX number (202) 616-1159; or Internet E:mail: mcwhorte@ojp.usdoj.gov.

Specific activities undertaken pursuant to SNAP, Operation Safe Home, and Operation Weed and Seed may be eligible for funding if they meet the criteria outlined in this NOFA.

Promoting Comprehensive Approaches to Housing and Community Development

HUD is interested in promoting comprehensive, coordinated approaches to housing and community development. Economic development, community development, public housing revitalization, homeownership, assisted housing for special needs populations, supportive services, and welfare-to-work initiatives can work better if linked at the local level. Toward this end, HUD in recent years has developed the Consolidated Planning process designed

to help communities undertake such approaches.

In this spirit, it may be helpful for applicants under this NOFA to be aware of other related HUD NOFAs that have recently been published or are expected to be published in the near future. By reviewing these NOFAs with respect to their program purposes and the eligibility of applicants and activities, applicants may be able to relate the activities proposed for funding under this NOFA to the recent and upcoming NOFAs and to the community's Consolidated Plan.

The list of NOFAs directed toward the elimination of drugs and crime that HUD expects to publish in the Federal Register within the next few weeks include: the NOFA for Public Housing Drug Elimination, the NOFA for Public Housing Drug Elimination Technical Assistance, and the NOFA for Federally Assisted Low Income Housing Drug Elimination Grants.

To foster comprehensive, coordinated approaches by communities, HUD intends for the remainder of FY 1997 to continue to alert applicants to upcoming and recent NOFAs as each NOFA is published. In addition, a complete schedule of NOFAs to be published during the fiscal year and those already published appears under the HUD Homepage on the Internet, which can be accessed at <http://www.hud.gov/nofas.html>. HUD may consider additional steps on NOFA coordination for FY 1998.

For help in obtaining a copy of your community's Consolidated Plan, please contact the community development office of your municipal government.

C. FUNDING AMOUNTS AND TERM

(1) **FEDERAL FISCAL YEAR (FY) 1997 FUNDING.** This NOFA announces the availability of \$20,000,000 in FY 1997 funds.

(2) **MAXIMUM GRANT AWARD AMOUNTS.** The maximum grant award amount is limited to \$250,000 per application/neighborhood.

(3) **TERM OF GRANT.** Grant funds must be expended within 24 months after

HUD executes a Grant Agreement; however, one extension of up to 6 months may be granted at HUD's option.

(4) REDUCTION OF REQUESTED GRANT AMOUNTS. HUD may award an amount less than requested if:

(a) HUD determines the amount requested for an eligible activity and/or any budget line item is unreasonable;

(b) Insufficient amounts remain under the allocation to fund the full amount requested by the applicant, and HUD determines that partial funding is a viable option;

(c) HUD determines that some elements of the proposed plan are suitable for funding and others are not; or

(d) HUD determines that a reduced grant would prevent duplicative Federal funding.

(5) DISTRIBUTION OF FUNDS. HUD is allocating funds to the highest scoring applications that have met all program threshold requirements and have been ranked using ratings by a team of expert HUD staff from throughout the country. Only applications which have the threshold score of 70 points out of a total 100 will be funded. There will be no geographic "fair sharing" or targeting of funds.

(6) GRANT REDUCTIONS AFTER AWARD. HUD may rescind and/or recapture grant funds based on the grantee's and or partners' failure to perform in accordance with the Grant Agreement, including the project application that will be incorporated in the Grant Agreement by reference. In addition, grant funds not expended for eligible purposes and in accordance with OMB cost principles by the end of the grant term will be recaptured by HUD and are governed by section 218 of the HUD FY 1997 Appropriations Act.

D. ELIGIBILITY OF GRANT ACTIVITIES AND APPLICANTS.

The following is a listing of eligible activities, ineligible activities, eligible applicants, and general grant requirements under this NOFA:

(1) Eligible Activities are the following:

(a) Increased Law Enforcement. Subject to a Cost Reimbursement Agreement, the reimbursement of local law enforcement entities for the costs of additional police presence (police salaries and other expenses directly related to such presence) in and around Assisted Housing developments in the neighborhood over and above: (i) What the law enforcement agency incurring such costs had incurred for such purposes within the same geographic area during the period equal in length and immediately prior to the period of reimbursement, and (ii) What the agency planned to incur for such purposes in the same geographic area during the period of reimbursement prior to publication of the NOFA. For any grant, at least 70 percent of such reimbursed costs must be for police presence in or immediately adjacent to the premises of Assisted Housing developments and the remainder of such reimbursed costs must be for police presence within the project area.

In its criteria for awarding points in the funding competition, HUD is strongly encouraging that additional law enforcement in the Assisted Housing developments and surrounding neighborhoods be targeted to implementing an overall crime fighting strategy, rather than merely responding to crime emergencies. Two potentially effective anticrime strategies that can benefit from additional police presence are: (1) Combined multiagency task force initiatives, such as Operation Safe Home, in which local and Federal law enforcement agencies pool resources, first, to infiltrate organizations that promote violent and/or drug-related crime in the neighborhood and, second, to initiate strategic and coordinated mass arrests to break up these organizations; and (2) Community policing, i.e., sustained proactive police presence in the development or neighborhood, often conducted from an onsite substation or ministration, that involves crime prevention, citizen

involvement, and other community service activities, as well as traditional law enforcement.

Because of the desperate gang-related crime problems facing many Assisted Housing developments and their neighborhoods and HUD's desire for maximum immediate impact early in the program, the competition favors proposals in which additional police presence will be used for a multiagency task force to fight crime, although points will also be awarded based on the extent to which the strategy fits the documented crime problem.

If reimbursement is provided for community policing activities that are committed to occur over a period of at least 3 years and/or are conducted from a police substation or ministration within the neighborhood, the costs during the grant period of constructing such a station or of equipping the substation with communications and security equipment to improve the collection, analysis and use of information about criminal activities in the properties and the neighborhood may be reimbursed. Federal law enforcement activities may not be funded by the Safe Neighborhood Grant. That is, grant funds cannot be directly transferred to Federal agencies for their use in funding law enforcement activities at the target sites. However, activities that support or further the objectives of Federal law enforcement activities at the targeted site may be funded with the Safe Neighborhood Grant.

(b) Security Services Provided by Other Entities Such As The Owner of an Assisted Housing Development. The activities of any contract security personnel funded under this grant must be coordinated with other law enforcement and crime prevention efforts under the Safe Neighborhood Action Plan approved by HUD. Efforts to achieve such coordination, as described in the plan, must include frequent periodic scheduled meetings of security personnel with housing project management and residents, local police and, as appropriate, with other public law enforcement personnel, neighboring residents, landlords, and other neighborhood stakeholders.

HUD is inclined, as stated elsewhere in this NOFA, to reward applicants that partner with entities that have a proven ability to address crime problems, and is therefore strongly inclined to provide more points under "Quality of Plan" and "Strength of Partnerships" to applications that propose reimbursing municipal police departments than those reimbursing private operators, for security services.

(c) To Assist in the Investigation and/or Prosecution of Drug-Related Criminal Activity in and Around Assisted Housing Developments. (i) Subject to a Cost Reimbursement Agreement, reimburse local or State prosecuting offices and related public agencies for the prosecution or investigation of crime committed in the neighborhood related to the Safe Neighborhood Action Plan. Such reimbursement must be for costs over and above what the office or agency incurred for such purposes for crimes committed in the same geographic area during the period equal in length and immediately prior to the period of reimbursement. For any grant, at least 70 percent of such reimbursed costs must be in connection with crimes committed in or immediately adjacent to the premises of Assisted Housing developments and the remainder of such reimbursed costs directly related to crime committed elsewhere in the neighborhood; (ii) Subject to appropriate justification and advance HUD approval, funding of private investigator services hired by the grantee or any coapplicant/subgrantees to investigate crime in and around the premises of Assisted Housing development and/or the surrounding neighborhood development; (iii) Training and evaluation by security/criminal education professionals for property owners, management agents and resident groups to identify and combat criminal activity in assisted housing properties and surrounding neighborhood.

Based on HUD's inclination to reward applicants that partner with entities that have a proven ability to address crime problems, HUD is strongly inclined to provide more points under "Quality of Plan" and "Strength of Partnerships" to applications that propose reimbursing municipal police departments or prosecutor offices than those reimbursing private operators,

for investigative or prosecutorial services.

(d) Capital Improvements to Enhance Security. These improvements may include, but are not limited to: the new construction or rehabilitation of structures housing police substations or ministations; neighborhood barriers, such as street closures at the boundaries to limit criminal access to the neighborhood; or any of the following improvements to limit criminal intrusions in an Assisted Housing development: the installation of fences, barriers, or territorial identification; lighting systems and other improvements to property visibility; appropriate use of CCTV (close circuit TV systems); improved door or window security such as locks, bolts, or bars; and the landscaping or other reconfiguration of common areas to discourage criminal activities. All such improvements must be accessible to persons with disabilities. For example, locks or buzzer systems that are not accessible to people with restricted or impaired strength, mobility, or hearing may not be funded by the grant.

Under "Quality of Plan," HUD is generally inclined to reward capital improvements to enhance the security of an entire neighborhood (such as the building of a ministation or closure of a street that serves as a neighborhood boundary over capital improvements to an Assisted Housing development that may enhance the security of a specific project at the expense of other dwellings in the neighborhood that might then serve as alternative crime victims.

(2) Eligible Applicants.

(a) Lead Applicant. The lead applicant, which if the application is selected for funding will be designated grantee, must be an owner/operator of one or more housing developments that has received some form of financial support from a unit of government or from a private nonprofit entity. Such support must be designated and assigned by the funding source specifically for the housing rather than for any specific resident household which may, however, benefit from the support in the form of reduced rent. The housing

support may be provided on a one-time or periodic basis to pay for or waive project development costs, costs of financing, operating costs, owner taxes, unit rent levels, or tenant rent payments. Project operating costs include but are not limited to: utilities, taxes, fees, and debt service payments. Unless the lead applicant is a unit of general local government which owns the assisted project, the lead applicant must also own an Assisted Housing development (as defined in section I.D.(4) below) in the neighborhood to be assisted. The lead applicant may not have any outstanding findings of civil rights violations.

(b) Coapplicants. The application must include a number of coapplicants, each of whose chief executive officer or empowered designee shall provide a letter, as part of the application, of their commitment to serve as project partners. The letter must specify the expertise and/or resources that the coapplicant will contribute towards the success of the grant activity. Also, coapplicants may not have any outstanding civil rights violations. Coapplicants **must** include all of the following (except for the lead applicant):

(i) **The unit of general local government(s) with primary law enforcement and community development jurisdiction over the project**--letter(s) from this entity must commit the police department, prosecutor's office and community development office to work actively in partnership with the grantee to support the grant project in their respective functions;

(ii) **The owners of Assisted Housing developments in the neighborhood that will benefit from grant funding**. The selection factor "Concentration of Assisted Housing" will favor applications in neighborhoods which have more than one Assisted Housing Development that will benefit and those in which owners have agreed to participate in the SNG activities;

(iii) **Residents of each assisted low income project in the neighborhood that will benefit from grant funding**. The residents' commitment may be signed either by individuals from a majority of project resident households or by one

or more organized resident groups that, combined, have been endorsed by a majority of project resident households or recognized by a governmental entity as representing a majority of project residents;

(iv) **At least one Federal law enforcement entity.** The most likely Federal law enforcement entities to join this partnership are the HUD OIG, Federal Bureau of Investigation (FBI), the Drug Enforcement Administration (DEA), the Bureau of Alcohol, Tobacco, and Firearms (ATF), and the Immigration and Naturalization Service (INS). Applicants are encouraged to partner with as many Federal law enforcement entities as possible;

(v) **In addition to the required coapplicants,** specified above, lead applicants are encouraged to partner with other appropriate neighborhood and community stakeholders including neighborhood businesses and business associations, nonprofit service providers, neighborhood resident associations, and civic oriented neighborhood religious congregations.

(3) Eligible Project Areas.

(a) The project area must be a "neighborhood," which shall be defined as follows: A geographic area within a jurisdiction of a unit of general local government (but not the entire jurisdiction unless the population of the unit of general local government is less than 25,000) designated in comprehensive plans, ordinances, or other local documents as a neighborhood, village, or similar geographical designation; or the entire jurisdiction of a unit of general local government which is under 25,000 population.

(b) The project area must include at least one assisted low-income housing project under:

(i) Section 221(d)(3), section 221(d)(4), or section 236 of the National Housing Act (12 U.S.C. 1715l, 1715z-1), provided that such project has been provided a Below Market Interest Rate mortgage, interest reduction payments, or project-based assistance under Rent Supplement, Rental Assistance Payments (RAP) or Section 8 programs. FHA-insured projects which have no project-based subsidy but have tenants receiving housing vouchers or Section 8

tenant certificates are not considered Federally assisted housing and would not qualify an area for eligibility;

(ii) Section 101 of the Housing and Urban Development Act of 1965 (12 U.S.C. 1701s); or

(iii) Section 8 of the United States Housing Act of 1937 (42 U.S.C. 1437f). This includes housing with project-based Section 8 assistance, whether or not the mortgage was insured by HUD-FHA, but does not include projects which receive only Section 8 tenant-based assistance (i.e., certificates or vouchers).

(c) HUD will award only one grant per project area.

(4) Eligible Assisted Housing.

In addition to the requirement described above that each neighborhood consist of at least one housing development assisted under one of the specified subsidy mechanisms, points will be awarded in the competition based on the concentration of "Assisted Housing" in the neighborhood, and based on extent of crime in and quality of crime reduction strategies for "Assisted Housing" developments, as well as the neighborhood. Moreover, many of the eligible activities described above must be substantially targeted to "Assisted Housing developments." The following definitions apply:

(a) Assisted Housing developments are defined as four or more adjoining, adjacent, or scattered site (within a single neighborhood) housing units, developed simultaneously or in stages, having common ownership and project identity, and receiving a project-based financial subsidy from a unit of government at the Federal, State, or local level, or from a private nonprofit entity. Such subsidy must be associated with a requirement and/or contractual agreement that all or a portion of the units be occupied by households with incomes at or below those of families at the "low income" limit as defined by the U.S. Housing Act of 1937, or at households at or below an alternative limit that falls below the U.S. Housing Act's "low income" limit, at rents which the public or nonprofit entity determines to be

"affordable."

(b) Assisted Housing units are defined as units within Assisted Housing developments for which occupancy is restricted to households with incomes at or below that of "low income families" as defined by the U.S. Housing Act or to households meeting an income standard below that defined as "low income;" and rents are restricted to amounts that the public or nonprofit entity determines to be "affordable."

(c) Project based subsidies are defined as financial assistance, initially designated and assigned by the funding source specifically for the project rather than to eligible assisted resident households which might also benefit from these subsidies, which is provided on a one time up-front or on a periodic basis to the project or its owner to write down, subsidize, or waive project development costs, costs of financing, project operating costs, owner taxes, unit rent levels, or tenant rent payments. Project operating costs include but are not limited to: utilities, taxes, fees, maintenance and debt service payments.

E. SELECTION CRITERIA AND RANKING FACTORS.

HUD field offices will conduct a threshold review of each application to determine that it meets the submission requirements of this NOFA. All applications which meet the threshold requirements of this NOFA will be submitted by the HUD field office to an Application Rating Committee of HUD experts to be convened at and under the direction of the SNAP Program Administering Unit at the HUD Virginia State Office, which will rate applications in accordance with the selection criteria. A total of 100 points is the maximum score available under the selection criteria. At a minimum, an application must receive 70 points. After assigning points to each application, HUD will rank the applications in order of points scored, and select the highest ranking applications for funding until the \$20,000,000 available have been awarded. If there are insufficient applications meeting all NOFA threshold requirements and scoring at least 70 points for which to

award funds, HUD will devise a competitive procedure by which the additional funds will be awarded and advertise such competitive procedure in the Federal Register.

Each application submitted will be evaluated on the basis of the selection criteria described below. The first criterion deals with the extent of the crime problem. The next three criteria deal with various factors that impact the likelihood that the proposed grant would have a significant short and long term positive impact in eliminating the crime problem in the area. These criteria include the quality of the plan, the capacity of the lead applicant and its partnership to successfully implement the plan, and the quality and scale of crime prevention measures. The last criterion, concentration of low income Assisted Housing in the area, indicates "bang for the buck" with respect to Assisted Housing, i.e., the number of families in Assisted Housing that would receive crime elimination benefits from the grant dollars compared to the families living in the neighborhood as a whole.

(1) THE EXTENT OF THE CRIME PROBLEM IN THE NEIGHBORHOOD AND/OR LOCATION OF HOUSING DEVELOPMENT PROPOSED FOR ASSISTANCE. (Maximum Points: 25)

A. Extent of Crime Problem (maximum points: 20). In assessing this criterion, HUD will consider the severity of the crime problem in the neighborhood proposed for funding, as demonstrated by data described below. HUD will evaluate the nature and extent of crime indicated by the statistical data and anecdotal information provided, the strength of such documentation, and the extent to which the applicant has analyzed the data sufficiently to articulate crime elimination needs clearly and to develop strategies, programs, and performance measures tailored to achieve and assess the result of eliminating the crime on a short and long term basis. The type of data to be provided is as follows:

(1) Official data on the Incidence of Part I and Part II Crimes for the Neighborhood AND, more specifically, the Assisted Housing Projects in the Neighborhood. Such crime is reported under the FBI's Uniform Crime Reporting

Program (UCR). Part I crimes are felonies such as criminal homicide, forcible rape, robbery, aggravated assault (including domestic violence by means likely to produce great bodily harm), burglary-breaking or entering, larceny-theft, motor vehicle theft, and arson. Part II crimes are misdemeanor assaults, forgery, counterfeiting, fraud, embezzlement, vandalism, weapons (carrying, possessing, etc.), prostitution and commercialized vice, sex offenses other than forcible rape, prostitution and commercialized vice, drug abuse violations, gambling offenses against the family and children, driving under the influence, liquor laws, drunkenness, disorderly conduct, vagrancy, curfew, and loitering and runaways.

If official data is provided only at the neighborhood level and not at the Assisted Housing project level or vice versa, the data should be supplemented by other data (see subparagraph b below) for the level not covered by the official data. HUD will evaluate this data based on the incidence of crime in Assisted Housing and the neighborhood relative to the number of residents within those geographic areas. For example, 20 arrests in an area with 100 residents is a 20 percent occurrence rate.

The data and accompanying narrative must describe the nature and frequency of Part I and II crimes as reflected by the most recent crime statistics and other supporting data from Federal, State, Tribal, or local law enforcement agencies. The data must address the types of offenders committing Part I and Part II crime and any indications as to the extent to which such crime is organized, such as gang-related crime, and the nature of such organization.

Supporting data from official sources may include, but is not limited to the number of lease terminations or evictions due to criminal activity in Assisted Housing projects in the neighborhood; the number of emergency room admissions for drug use or victims of violence as maintained by police, fire department, emergency medical service agencies, and hospitals; the number of police calls from all sources for various Part I and Part II crimes; numbers of and types of crimes referred to and handled by local, State, and Federal

prosecutors; and numbers of residents placed in drug treatment and aftercare program (as a measure, specifically, of drug related crime).

(2) Other Data . This data, which must be the most recent available, should be provided either to supplement official data described above in subparagraph (1) if the applicant believes such supporting data would strengthen its case or supplement its description as to the extent of crime, or if official data is unavailable at either the neighborhood and/or Assisted Housing project level. If official data is unavailable at both the neighborhood and the Assisted Housing project level, the application must so demonstrate in addition to providing the data described below. If no official data is provided for either the neighborhood or the Assisted Housing projects, the application will only be eligible for a maximum of 12 points on "Extent of Crime." Other data, as described here, may include but is not limited to:

(i) Surveys of Assisted Housing or neighborhood residents, Assisted Housing staff, neighborhood businesspeople, etc., on the nature and extent of crime;

(ii) Governmental and scholarly studies on the nature and extent of crime;

(iii) Vandalism costs in the neighborhood and at Assisted Housing developments;

(iv) Information from schools, health service providers, residents, and State and local government officials, and the opinions of individuals having direct knowledge of Part I and Part II crimes concerning the nature and frequency of crimes in the neighborhood and at the Assisted Housing developments, including the possible involvement of organized crime such as gangs;

(v) The school dropout rate and rate of absenteeism to the extent that these can be related through statistical data and/or anecdotal information to the incidence of drug abuse or other crime in the neighborhood/Assisted Housing developments;

(vi) Information from a jurisdiction's Analysis of Impediments (AI) to Fair Housing Choice which includes crime statistics in and around residential areas. If the impediments are crime and drugs, a strategy to deal with these impediments could provide additional information.

If any data provided under this section is more than 1 year old, the applicant must justify that this is the most recent available data. HUD may check with data sources to determine the validity of such claims and may severely mark down applications that are misleading on this matter.

B. Empowerment Zone/Enterprise Community (EZ/EC) Preference (maximum points: 5). If the Assisted Housing development is located in an designated EZ/EC, the applicant will receive a maximum of 5 points. The applicant should illustrate a tie-in between the NOFA and the approved Strategic Plan.

"Designated Empowerment Zone or Enterprise Community" means an urban area designated as an Empowerment Zone, Supplemental Empowerment Zone, an Enhanced Enterprise Community, or an Enterprise Community by the Secretary of HUD on December 21, 1994. An "Empowerment Zone Strategic Plan" means a strategy developed and agreed to by the nominating local government(s) and State(s) and submitted in partial fulfillment of the application requirements for designation as an Empowerment Zone or Enterprise Community pursuant to 24 CFR part 597. Applicants must provide evidence in the form of a letter that the assisted housing development is in a EZ/EC area. See Appendix B to this NOFA for a listing of EZ/EC contacts from whom such a letter may be obtained.

(2) THE QUALITY OF THE PLAN. (Maximum Points: 15)

In assessing this criterion, HUD will review the strategies outlined in the applicant's Safe Neighborhood Action Plan to eliminate the crime problem described in Selection Factor 1, and any other problems associated with such crime, in the neighborhood and projects proposed for funding, and how the activities proposed for funding fit in with the overall plan. The long term as well as immediate anticipated crime reduction impact will be considered.

If the crime problem is related to gang activity or other organized crime, maximum points will be provided only if the proposed activity involves initiatives, which HUD considers likely to succeed, that coordinate the efforts of Federal and local law enforcement personnel to eradicate criminal gang activity based on models such as HUD's Operation Safe Home and SNAP programs, the Justice Department's Weed and Seed program, or other law enforcement models.

(3) THE CAPACITY OF THE LEAD APPLICANT AND PARTNERSHIP CAPACITY TO IMPLEMENT THE PLAN. (Maximum points: 40)

(a) The applicants' successful experience combined with its coapplicants' successful experience in utilizing similar strategies to alleviate crime for other neighborhoods, projects, or developments. To receive maximum points under this section, the applicant must have worked in partnership with one or more of its coapplicants (or, under some circumstances, two or more of the coapplicants may have worked together in partnership) using a similar strategy that reduced crime in and/or around Assisted Housing developments. The applicant must demonstrate the reduction in the occurrence of crime as indicated above in Selection Factor (1)A. of this NOFA. Among other Federal programs which promote such partnerships are HUD's Operation Safe Home Program, Safe Neighborhood Action Program and, to some extent, the Drug Elimination Grant program. In the absence of previous partnerships, the experience of the applicant will weigh more heavily than the experience of any single coapplicant in HUD's assignment of partial points under this subfactor. Of the points assigned in this subfactor, 5 points will be awarded using the rating assigned by the Secretary's Representative, and the remaining 10 points will be awarded using the rating of the Rating Committee in Richmond. (Maximum points: 15)

(b) The strength of the applicants' partnership as it relates to eliminating the crime problem identified above in Selection Factor (1)A. Points for this category will be awarded based on the strength of resource

commitments by coapplicants (both in terms of the amount of resources committed and the firmness of the commitments); evidence of the coapplicants' (including project tenants') preapplication role in the development of the Safe Neighborhood Action Plan and prospective role in program implementation; indications of the capacity of the Assisted Housing developments' ownership and management (based on available management reviews by governing public entities) to undertake their share of responsibilities in the partnership (including evidence of whether project management carefully screens applicants for units and takes appropriate steps to deal with known or suspected tenants exhibiting criminal behavior) and to cooperate with law enforcement actions by other partners on their project premises; the willingness of the unit of general local government (lead applicant) to use its prosecutor's office as its lead agency in implementing the grant; utilization of additional partners other than those required under the heading "Eligible Applicants" (for example, multiple Federal law enforcement agency coapplicants and/or a coapplicant neighborhood business organization); and the effectiveness of the partnership structure (synergistic arrangements for collective action will receive more points than a simple advisory committee of coapplicants).

(Maximum points: 15)

(c) The applicants' administrative capacity to implement the grant. Points will awarded based on the quality and amount of staff allocated to the grant activity by the grantee; the anticipated effectiveness of the grantee's systems for budgeting, procurement, drawdown, allocation, and accounting for grant funds and matching resources in accordance with OMB administrative requirements; and the lines of accountability for implementing the grant activity, coordinating the partnership, and assuring that the applicant's and coapplicants' commitments will be met. (Maximum points: 10)

(4) THE SCALE AND EFFECTIVENESS OF CRIME PREVENTION/SOCIO-ECONOMIC LIFT PROGRAMS OPERATING IN ASSOCIATION WITH THE LAW ENFORCEMENT PLAN. (Maximum Points: 10)

HUD will award points to applicants who have in operation programs such as Neighborhood Networks (NN), Campus of Learners (COL), or other computer learning centers; other educational, life skills, and job training opportunities, including scholarships; mentoring, counseling, and recreational activities for at-risk youth; parental training and family counseling; alcohol or drug abuse prevention, treatment, and aftercare programs; homebuyers clubs and other homeownership activities; economic development activities such as programs for employing Assisted Housing residents, job placement and employer linkage programs, micro-loan programs, community credit unions, or other entrepreneurial opportunities; and supportive services for educational and economic development such as day care, transportation, health care, and the salary of service coordinators or caseworkers. The importance of these types of programs is underscored by the imperatives of welfare reform. (Maximum Points: 10)

(5) THE CONCENTRATION OF ASSISTED LOW INCOME HOUSING IN THE NEIGHBORHOOD. (Maximum Points: 10)

HUD will award points based on the percentage of housing units in the neighborhood that qualify under the Safe Neighborhood Grant program definition of "Assisted Housing units" within any Assisted Housing development, regardless of subsidy source and whether or not the units are concentrated in one or two large projects or are distributed among several projects of whatever size. HUD will assign points by a computer in this category based on the distribution of percentages among projects that are determined fundable after screening by HUD field offices. The top 10 percent of all fundable projects with respect to the ratio of number of Assisted Housing units to the number of housing units in the neighborhood will receive 10 points; projects falling in the next 10 percentile will receive 9 points, etc.

II. APPLICATION PROCESS

A. APPLICATION PACKAGE. An application package may be obtained from

the HUD field office having jurisdiction over the location of the applicant project or from the Multifamily Clearinghouse at (800) 685-8470. The HUD field office will be available to provide technical assistance on the preparation of applications during the application period.

B. APPLICATION SUBMISSION. A separate application must be submitted for each neighborhood/project area to be served. An original and one copy must be received by the 3 p.m. deadline at the appropriate HUD field office with jurisdiction over the applicant project, Attention: Director of Multifamily Housing. It is not sufficient for the application to bear a postage date within the submission time period. Applications submitted by facsimile (FAX) are not acceptable and will not be considered. **Applications received after the 3 p.m. deadline on August 21, 1997 will not be accepted.** In the interest of fairness to all competing applicants, HUD will treat as ineligible for consideration any application that is received after the deadline. Applicants should take this practice into account and make early submission of their materials to avoid any risk of loss of eligibility brought about by unanticipated delays or other delivery-related problems.

C. HUD APPLICATION REVIEW. Applications will be reviewed for completeness in the HUD field office listed in Appendix A that has been designated to receive the application. Those applications that have been deemed by the field office to be eligible for funding will be rated and ranked by the Rating Team at the HUD office in Richmond, Virginia. Applications will be funded based on the rank order of scoring.

D. NOTIFICATION. HUD will notify all applicants whether or not they were selected for funding.

III. CHECKLIST OF APPLICATION SUBMISSION REQUIREMENTS:

To qualify for a grant under this program, an applicant must submit an application to HUD that contains the following:

A. Application for Federal Assistance form (Standard Form SF-424 and SF-424A). The form must be signed by chief executive officer of the lead applicant, and applicant information in the form must be information about the lead applicant.

B. A description of the Safe Neighborhood Partnership that has been formed to implement this grant. The description must include the names of the coapplicants; relative roles and contributions of each coapplicant in implementing grant activities; structures for partnership coordination and joint decisionmaking, e.g., form of partnership interaction (task force, advisory group or corporate entity), lines of accountability, degree of grant decisionmaking power conferred by the lead applicant/grantee to its partners, frequency of meetings, etc.; the roles, if any, of coapplicants (especially project tenants) in designing the Safe Neighborhood Action Plan; which coapplicants (if any) will be designated subgrantees by virtue of their receiving and dispensing grant funds for grant activities; and how the lead applicant (grantee) proposes to direct and monitor its partners to account for funds received or expended and to ensure that commitments are met; and a profile of each coapplicant, including governmental or nonprofit status (copies of official up-to-date IRS verification of status must be provided for all nonprofit institutions), a detailed description of their experience and success in similar or related anticrime initiatives, roles in and financial or in-kind contributions to the partnership, and the approximate value of any in-kind contributions.

Accompanying the description must be letters from each coapplicant, signed by their respective chief executive officers, describing their role if any in designing the application and, especially, the Safe Neighborhood Action Plan; detailing the amounts and types of financial and other contributions to be made by the coapplicant; firmly committing the coapplicant to such

contributions; affirming the specific role(s) that the coapplicant will undertake in implementing Safe Neighborhood Action Plan activities, including its agreement to act as subgrantee, if applicable; and summarizing the coapplicant's experience in undertaking similar or related activities.

With respect to coapplicant owners of Assisted Housing development(s), the application should include external assessment or evidence of the quality of the development's ownership or management (e.g., available management reviews by governing public entities) that relates to the capacity of the ownership and management to undertake their share of responsibilities in the partnership; and such related concerns as whether project management carefully screens applicants for units and takes appropriate steps to deal with known or suspected tenants exhibiting criminal behavior) and cooperates with law enforcement actions by other partners on their project premises.

C. A description of the Neighborhood and the Assisted Housing developments in the neighborhood.

(1) **The neighborhood description** must include a name, a basic description (e.g., boundaries and size), population, number of housing units in the neighborhood, a map, a population profile (e.g., relevant census data on the socio-economic, ethnic and family makeup of neighborhood residents), and the basis on which the area meets the definition of "neighborhood" as described in section I.D.(3)(a) of this NOFA, above (i.e., describe and include a copy of the comprehensive plan, ordinance or other official local document which defines the area as a neighborhood, village, or similar geographical designation). If the entire jurisdiction is defined as a neighborhood by virtue of having a population at less than 25,000, indicate the jurisdiction's population under the 1990 census and describe/include more recent information which gives the best indication as to the current population.

(2) **The description of the Assisted Housing development(s)** in the neighborhood, as defined in sections I.D.(3)(b) and I.D.(4) of this NOFA.

This must include the name of the project; the name of the project owner; the nature, sources, and program titles of all project based subsidies or other assistance provided to the project by units of government or private nonprofit entities (any names of public or nonprofit programs other than programs sponsored by HUD should be accompanied by a description of the program and the name and business phone number of a contact person responsible for administering the program for the subsidy provider); the number of housing units in the project; and the number of housing units in the project that meet the definition of "assisted housing units" in section I.D.(4)(b) of this NOFA, and a description of the restrictions on rents and resident incomes that, in combination with the subsidy provided to the project, qualify the units as assisted/affordable in accordance with the definition in this NOFA; and the number, geographic proximity (adjoining, adjacent, or scattered site, and if scattered site, the distance between the two buildings which are furthest apart), and type (single family detached, townhouse, garden, elevator) of buildings in the project.

D. Crime Status Report. A narrative with supporting data that describes the type and degree of crime in the neighborhood and in the Assisted Housing developments, as well as relevant information about the perpetrators of such crime (e.g., whether they live inside or outside the neighborhood and/or project(s)), the extent to which the crime is organized (e.g., gang related), and any relevant information on the nature of any such crime organizations. Also describe the nature, extent, and impact of any current or recent initiatives in the neighborhood and/or the Assisted Housing project by residents, landlords, other businesspeople, law enforcement and/or government community development agencies to address the current crime problem or its causes.

This information must consist of a narrative backed up by documented statistics. To maximize the application's probability of being funded, the narrative must be appropriately brief and to the point, but must be extensive

and detailed enough for HUD to determine accurately the extent of crime (Selection Factor (1)) and the degree to which the Safe Neighborhood Action Plan described in paragraph E. below and the partnership described in paragraphs B. and C. above will successfully address and reduce the crime in the neighborhood and project (Selection Factor (2)). Applicants must provide statistics to support narrative descriptions on the extent and nature of crime, as prescribed in section I.E.(1)A., above.

E. Applicant's Safe Neighborhood Action Plan for addressing the problem of crime in the neighborhood and in the Assisted Housing projects for which funding is sought, which should include the activities to be funded under this program along with all other initiatives being undertaken by the applicant. The plan should include a discussion of:

(1) **Law Enforcement Activities.** The activities funded by the grant and by other resources that are committed by partners for law enforcement activities in conjunction with this grant, including a description of the roles, resources committed by, and implementation responsibilities of each partner and a description as to the location and locational impact of these activities vis-a-vis each Assisted Housing development and the surrounding area.

(2) **Narrative justification that these activities address the needs identified by the Crime Status Report,** i.e., the extent and nature of crime, profile of crime perpetrators, project resident profiles, and other previous or existing efforts to address such crime.

(3) **Goal of Law Enforcement Activities.** The application must provide one or more specific crime reduction goals that would be achieved by the end of the 24-month grant term (e.g., 30 percent reduction in annual/monthly reported Part I and Part II crimes; 60 percent reduction in number of police emergency calls from the neighborhood and/or from the project).

(4) **Overall budget and timetable** that: (a) Also includes separate budgets, goals, milestones, and timetable for each activity and addresses

milestones towards achieving the goals described in paragraph E.(3) above; and, (b) Indicates the contributions and implementation responsibilities of each partner for each activity, goal, and milestone.

(5) **Staffing.** The number of staff years, the titles and professional qualifications, and respective roles of staff assigned full or part-time to grant implementation by the lead applicant.

(6) **Coordination.** The lead applicant's plan and lines of accountability (including an organization chart) for implementing the grant activity, coordinating the partnership, and assuring that the lead applicant's and coapplicants' commitments will be met. There must be a discussion of the various agencies of the unit of government that will participate in grant implementation (which must include the prosecutor's office and at least one, but preferably both, of the following: the police department and an agency dealing with community development), their respective roles (i.e., which has the lead), and their lines of communication.

(7) **Administrative Systems.** A description of the lead applicant's systems and quality controls for budgeting, procurement, drawdown, allocation, and accounting for grant funds and matching resources in accordance with OMB administrative and cost requirements, including a system for monitoring these concerns as related to governmental or nonprofit subgrantees.

(8) **Complimentary Crime Prevention Activities.** A description of the lead applicant's and coapplicants' current activities and projected plans (with full funding committed) for crime prevention/socio-economic lift programs which will complement the law enforcement activities proposed in the plan. Programs considered in this category include but are not necessarily limited to those listed under Selection Factor (3) in section I.E. of this NOFA. The description must justify how these activities complement the law enforcement activities in the plan towards long term eradication and prevention of the types of crime described in the Crime Status Report, taking into account the profiles of crime perpetrators and resident profiles included in the application. This description must firmly commit the lead applicant to

provide all resources and implement all activities as designated, and must be accompanied by firm commitments by coapplicants to provide the resources and conduct the activities designated for each party.

F. Experience. A description of the lead applicant's and coapplicants' experiences, separately or in concert, in successfully implementing activities or programs substantially similar to the law enforcement activities proposed in the Safe Neighborhood Action Plan. Such description must be specific as to the nature of the crime problem addressed, the location and scale of the law enforcement activity undertaken, the resources and activities undertaken by the lead applicant or coapplicants, the resources and roles provided by any partners involved in the same or related activities, the structure for coordinating the partnership, and any available evidence as to the success of these activities or programs.

G. Form 424 B Assurances signed by the lead applicant's Chief Executive Officer or designee.

H. Other Certifications. A certification form regarding Fair Housing and Equal Opportunity will be provided by HUD in the Application Kit. The lead applicant may not have any outstanding findings of civil rights violations.

I. Drug-Free Workplace. The certification with regard to the drug-free workplace required by 24 CFR part 24, subpart F.

J. Disclosure of Lobbying Activities. If the applicant applies for an amount greater than \$100,000, the certification with regard to lobbying required by 24 CFR part 87 must be included. See section V.H., below, of this NOFA. If the applicant applies for an amount greater than \$100,000, and the applicant has made or has agreed to make any payment using nonappropriated

funds for lobbying activity, as described in 24 CFR part 87, the submission must also include the Disclosure of Lobbying Activities Form (SF-LLL).

K. Form HUD-2880, Applicant/Recipient Disclosure/Update Report.

IV. CORRECTIONS TO DEFICIENT APPLICATIONS:

HUD will notify the applicant within ten (10) working days of the receipt of the application if there are any curable technical deficiencies in the application. Curable technical deficiencies relate to minimum eligibility requirements (such as certifications or signatures) that are necessary for funding approval but that do not relate to the quality of the applicant's program proposal under the selection criteria. The applicant must submit corrections in accordance with the information provided by HUD within 14 calendar days of the date of the HUD notification.

V. OTHER MATTERS

A. GENERAL GRANT REQUIREMENTS

The following requirements apply to all activities, programs, or functions used to plan, budget, implement, and evaluate the work funded under this program.

(1) Grant Agreement. After applications have been ranked and selected, HUD and the lead applicant shall enter into a grant agreement setting forth the amount of the grant, the physical improvements or other eligible activities to be undertaken, financial controls, and special conditions, including sanctions for violation of the agreement. The Grant Agreement will incorporate the HUD approved applications, as may be amended by any special condition in the Grant Agreement. HUD will monitor grantees, utilizing the Grant Agreements to ensure that grantees have achieved commitments set out in their HUD approved grant application. Failure to honor such commitments would be the basis for HUD determining a default of the Grant Agreement, and exercising available sanctions, including grant suspension, termination,

and/or the recapture of grant funds.

(2) Requirements Governing Grant Administration, Audits and Cost Principles. The policies, guidelines, and requirements of this NOFA, 48 CFR part 31, 24 CFR parts 44, 45, 84 and/or 85, OMB Circulars A-87 and/or A-122, other applicable administrative, audit, and cost principles and requirements, and the terms of grant/special conditions and subgrant agreements apply to the acceptance and use of assistance by grantees. The requirements cited above, as applicable, must be followed in determining procedures and practices related to the separate accounting of grant funds from other grant sources, personnel compensation, travel, procurement, the timing of drawdowns, the reasonableness and allocability of costs, audits, reporting and closeout, budgeting, and preventing conflict of interests or duplicative charging of identical costs to two different funding sources. All costs must be reasonable and necessary.

(3) Term of Grant. The term of funded activities may not exceed 24 months; however, HUD may approve a 6-month extension to this term for good cause.

(4) Subgrants and Subcontracting. (a) In accordance with an approved application, a grantee may directly undertake any of the eligible activities under this NOFA, it may contract with a qualified third party, or it may make a subgrant to any coapplicant approved by HUD as a member of the partnership, provided such party is a unit of government, is incorporated as a not-for-profit organization, or is an incorporated for-profit entity that owns and/or manages an Assisted Housing project benefiting from the grant. Resident groups that are not incorporated may share with the grantee in the implementation of the program, but may not receive funds as subgrantees. For-profit organizations other than owners or managers of an Assisted Housing project benefiting from the grant that have been approved by HUD as part of the partnership may only receive grant funds subject to the applicable Federal procurement procedures (See 24 CFR part 84 or 85).

(b) Subgrants may be made only under a written agreement executed between the grantee and the subgrantee. The agreement must include a program budget that is acceptable to the grantee, and that is otherwise consistent with the grant application budget. The agreement must require the subgrantee to permit the grantee to inspect the subgrantee's work and to follow applicable OMB and HUD administrative requirements, audit requirements, and cost principles, including those related to procurement, drawdown of funds for immediate use only, and accounting to the grantee for the use of grant funds and implementation of program activities. In addition, the agreement must describe the nature of the activities to be undertaken by the subgrantee, the scope of the subgrantee's authority, and the amount of any insurance to be obtained by the grantee and the subgrantee to protect their respective interests.

(c) The grantee shall be responsible for monitoring, and for providing technical assistance to, any subgrantee to ensure compliance with applicable HUD and OMB requirements, including those cited in sections V.A.(2) and V.A.(4)(b), above. The grantee must also ensure that subgrantees have appropriate insurance liability coverage.

(5) Environmental Requirements. Prior to the award of grant funds under the program, HUD will perform an environmental review to the extent required under the provisions of 24 CFR part 50.

(6) Ineligible Contractors. The provisions of 24 CFR part 24 relating to the employment, engagement of services, awarding of contracts or funding of any contractors or subcontractors during any period of debarment, suspension, or placement in ineligibility status apply to this grant.

(7) Employment preference. A grantee under this program shall give preference to the employment of residents of Assisted Housing projects in the neighborhood to be assisted by this grant, and shall comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and 24 CFR part 135, to carry out any of the eligible activities under this program, so long as residents provided such preferences have comparable qualifications and

training as nonresident applicants.

(8) Nondiscrimination and Equal Opportunity. The following nondiscrimination and equal opportunity requirements apply:

(a) The requirements of title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d) (Nondiscrimination in Federally Assisted Programs) and implementing regulations issued at 24 CFR part 1;

(b) The prohibitions against discrimination on the basis of age under the Age Discrimination Act of 1975 (42 U.S.C. 6101-07) and implementing regulations at 24 CFR part 146; prohibitions against discrimination against handicapped individuals under section 504 of the Rehabilitation Act of 1973 (29 U.S.C. 794) and implementing regulations at 24 CFR part 8;

(c) The requirements of Executive Order 11246 (Equal Employment Opportunity) and implementing regulations issued at 41 CFR Chapter 60; and the requirements of Executive Orders 11625, 12432, and 12138 as well as 24 CFR 85.36(e) requiring grantee efforts to encourage the use of minority and women business enterprises when possible in the procurement of property and services.

(d) Grantees must maintain records of their efforts to comply with the requirements of section 3 of the Housing and Urban Development Act of 1968 and the requirements concerning use of minority and women business enterprises.

(e) The requirements of title VIII of the Civil Rights Act of 1968 (Fair Housing Act) (42 U.S.C. 3600-20) and implementing regulations issued at 24 CFR chapter I, subchapter A; Executive Order 11063 (Equal Opportunity in Housing) and implementing regulations at 24 CFR part 107 apply to Assisted Housing which benefits from grant funds.

(9) Drawdown of Grant Funds. All grantees will access the grant funds through HUD's Line of Credit Control System-Voice Response System in accordance with procedures for minimizing the time lapsing between drawdowns and use of funds for eligible purposes as described in 24 CFR parts 84 and/or 85, as applicable.

(10) Reports and Closeout. Each grantee receiving a grant shall submit to HUD a semiannual progress report in a format prescribed by HUD that indicates program expenditures and measures performance in achieving goals. At grant completion, the grantee shall participate in a closeout process as directed by HUD which shall include a final report in a format prescribed by HUD that reports final program expenditures and measures performance in achieving program goals. Closeout will culminate in a closeout agreement between HUD and the grantee and, when appropriate, in the return of grant funds which have not been expended in accordance with applicable requirements.

(11) Suspension or Termination of funding. HUD may suspend or terminate funding if the grantee fails to undertake the approved program activities on a timely basis in accordance with the grant agreement, adhere to grant agreement requirements or special conditions, or submit timely and accurate reports.

B. PAPERWORK REDUCTION ACT STATEMENT

The information collection requirements contained in this Notice of Funding Availability (NOFA) have been approved by the Office of Management and Budget (OMB), in accordance with the emergency processing procedures of the Paperwork Reduction Act of 1995 (44 U.S.C. 3501-3520) and 5 CFR 1320.13, and assigned OMB control number 2502-0520. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless the collection displays a valid control number.

C. ENVIRONMENTAL IMPACT. A Finding of No Significant Impact with respect to the environment has been made in accordance with HUD regulations at 24 CFR part 50 that implement section 102(2)(C) of the National Environmental Policy Act of 1969 (42 U.S.C. 4332). The Finding of No Significant Impact is available for public inspection and copying from 7:30 to 5:30 weekdays in the Office of the Rules Docket Clerk, Room 10276, 451 Seventh Street, SW, Washington, DC.

D. FEDERALISM IMPACT. The General Counsel, as the Designated Official under section 6(a) of Executive Order 12612, Federalism, has determined that this NOFA would not have substantial direct effects on States or their political subdivisions, or the relationship between the Federal Government and the States, or on the distribution of power and responsibilities among the various levels of government. The grants under this NOFA will be used to eliminate drug-related and other crime problems on the premises and in the vicinity of low-income housing. Therefore, this NOFA is not subject to review

under the Order.

E. SECTION 102 HUD REFORM ACT APPLICANT/RECIPIENT DISCLOSURES. Section 102 of the Department of Housing and Urban Development Reform Act of 1989 (42 U.S.C. 3545) (HUD Reform Act) and the regulations codified in 24 CFR part 4, subpart A, contain a number of provisions that are designed to ensure greater accountability and integrity in the provision of certain types of assistance administered by HUD. On January 14, 1992 (57 FR 1942), HUD published a notice that also provides information on the implementation of section 102. The documentation, public access, and disclosure requirements of section 102 are applicable to assistance awarded under this NOFA as follows:

Documentation and public access requirements. HUD will ensure that documentation and other information regarding each application submitted pursuant to this NOFA are sufficient to indicate the basis upon which assistance was provided or denied. This material, including any letters of support, will be made available for public inspection for a 5-year period beginning not less than 30 days after the award of the assistance. Material will be made available in accordance with the Freedom of Information Act (5 U.S.C. 552) and HUD's implementing regulations at 24 CFR part 15. In addition, HUD will include the recipients of assistance pursuant to this NOFA in its Federal Register notice of all recipients of HUD assistance awarded on a competitive basis.

Disclosures. HUD will make available to the public for 5 years all applicant disclosure reports (HUD Form 2880) submitted in connection with this NOFA. Update reports (also Form 2880) will be made available along with the applicant disclosure reports, but in no case for a period less than 3 years. All reports--both applicant disclosures and updates--will be made available in accordance with the Freedom of Information Act (5 U.S.C. 552) and HUD's implementing regulations at 24 CFR part 15.

F. SECTION 103 HUD REFORM ACT. HUD's regulations implementing section 103

of the Department of Housing and Urban Development Reform Act of 1989 (42 U.S.C. 3537a), codified in 24 CFR part 4, applies to this funding competition.

The regulations continue to apply until the announcement of the selection of successful applicants. HUD employees involved in the review of applications and in the making of funding decisions are limited by the regulations from providing advance information to any person (other than an authorized employee of HUD) concerning funding decisions, or from otherwise giving any applicant an unfair competitive advantage. Persons who apply for assistance in this competition should confine their inquiries to the subject areas permitted under 24 CFR part 4.

Applicants or employees who have ethics related questions should contact the HUD Ethics Law Division at (202) 708-3815. (This is not a toll-free number.) For HUD employees who have specific program questions, such as whether particular subject matter can be discussed with persons outside HUD, the employee should contact the appropriate field office counsel, or Headquarters counsel for the program to which the question pertains.

G. PROHIBITION AGAINST LOBBYING ACTIVITIES. Applicants for funding under this NOFA are subject to the provisions of section 319 of the Department of Interior and Related Agencies Appropriation Act for Fiscal Year 1991, 31 U.S.C. 1352 (the Byrd Amendment), which prohibits recipients of Federal contracts, grants, or loans from using appropriated funds for lobbying the executive or legislative branches of the Federal Government in connection with a specific contract, grant, or loan. Applicants are required to certify, using the certification found at Appendix A to 24 CFR part 87, that they will not, and have not, used appropriated funds for any prohibited lobbying

activities. In addition, applicants must disclose, using Standard Form LLL, "Disclosure of Lobbying Activities," any funds, other than Federally appropriated funds, that will be or have been used to influence Federal employees, members of Congress, and congressional staff regarding specific grants or contracts.

Dated: _____

Nicolas P. Retsinas,
Assistant Secretary for Housing -
Federal Housing Commissioner

APPENDIX A**Multifamily Division Directors****New England****Boston**

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Providence

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New York/New Jersey**New York**

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Buffalo

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APPENDIX B. EMPOWERMENT ZONE/EMPOWERMENT COMMUNITIES

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Atlanta, GA 30303	
IL, Chicago	
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City of Chicago	312-744-9696 (fax)
20 North Clark Street, 28th Floor	
Chicago, IL 60602	
MD, Baltimore	
Ms. Diane Bell	410-783-4400 (phone)
Empower Baltimore Management Corporation	410-783-0526 (fax)
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Baltimore, MD 21202	
MI, Detroit	
Ms. Gloria W. Robinson	313-224-6389 (phone)
City of Detroit Planning and Development	313-224-1629 (fax)
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Detroit, MI 48226	
NY, New York	
Mr. Kevin Nunn	718-590-3549 (phone)
Bronx Overall Economic Development Corporation	718-590-5814 (fax)
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Second Floor	
Bronx, NY 10451	
NY, New York	
Ms. Deborah Wright, Director	212-932-1902 (phone)
Upper Manhattan Empowerment Zone	212-932-1907 (fax)
Development Corporation	
Powell Office Building	
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New York, NY 10027	
PA, Philadelphia	
Mr. Carlos Acosta	215-686-9763 (phone)
City of Philadelphia	215-686-9800 (fax)
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Philadelphia, PA 19103	
NJ, Camden	
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Camden Empowerment Zone Corporation	609-541-8457 (fax)
412 North Second Street	
Camden, NJ 08104	

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OH, Cleveland

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 601 Lakeside Avenue
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ENHANCED ENTERPRISE COMMUNITIES**CA, Oakland**

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ENTERPRISE COMMUNITIES**AL, Birmingham**

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Mr. Henry McHenry
 Enterprise Community Committee Board
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